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**STANDARD BRED DIRECTIVE NUMBER 1 – 2004
RULES OF STANDARD BRED RACING 2003**

The Ontario Racing Commission at its meeting of Thursday, January 29, 2004 resolved that the Rules of Standardbred Racing 2003 be amended by the promulgation of the changes to the following Rules effective March 1, 2004:

**Chapter 3
LICENCES**

3.02 A person shall not participate in the affairs of an association as Director, general manager, officer, pari-mutuel manager, agent, or employee of such association without first receiving a licence from the Commission, nor shall any person participate in racing as an official, owner, driver, trainer, groom, tradesperson, registered **Ontario Sires Stakes stallion owner**, veterinarian, or **authorized agent** nor shall anyone practice his or her profession, trade, occupation, or calling, including concessionaire or pari-mutuel employee, unless such person has applied for and been issued a Commission licence classified in the appropriate category. An association may admit to its grounds the holder of a licence to enable the licensee to have access to the area where the licensee is obliged to perform his/her duties. With permission of the Director or Racing, a licence will not be required for an employee of a company, partnership or person with whom the association has an agreement to supply goods or services.

3.03 (c) Notwithstanding rule 3.02, the Ontario Racing Commission will recognize for a non-resident, who is participating in racing in Ontario, valid licences from recognized racing jurisdictions **that have an agreement with the Ontario Racing Commission** or the National Racing Compact. In order to be recognized the licensee must be in good standing in all jurisdictions and file or have filed on his or her behalf an Ontario Racing Commission reciprocal licensing form or other form approved by the Commission, outlining name, address and licensing particulars prior to racing. For the purpose of this rule, a non-resident of Ontario shall have the definition attributed to a non-resident of Canada in the Income Tax Act (Canada). Non-residents of Ontario who have filed or who have had filed on their behalf a reciprocal licensing form shall be deemed to be licensees of the Ontario Racing Commission and subject to the Commission rules and directives. A validation sticker will be affixed upon request to licences when required for the purposes of allowing the recognized licensee access to those areas of the racing associations where the licensee is obliged to perform his or her duties.

3.09.1 (c) All of the terms of a trainer's engagement should be set out in a written contract between the owner and trainer. At minimum, a trainer shall advise an owner in written form as to the services to be provided by the trainer for which the owner is to be financially responsible, the trainer's rate schedule including day rate and commissions on purses earned by **the** horses and the terms of payment.

Chapter 5 JUDGES AND RACING OFFICIALS

5.21 Corrections to information contained in official performance records can be made only by the judges or an authorized official. Any participant tampering with the official performance records to effect unauthorized changes or entries may be fined, suspended or expelled.

Chapter 8 COMMISSION VETERINARIANS, OFFICIAL VETERINARIANS AND OTHER VETERINARIANS

- 8.02** The Commission Veterinarian or Official Veterinarian shall:
- (b) examine or observe each horse that is to race, at the following times and locations:
- (i) during a warm-up on the track prior to the race;
 - (ii) during the parade to the post;
 - (iii) during the race;
 - (iv) after the race;
 - (v) **during all qualifying races; and**
 - (vi) at any other time considered appropriate;

8.08 Delete

8.08.1 A veterinarian shall maintain and keep the records required pursuant to the Rules for not less than two clear years and shall make such records available to the Director of Racing, judges or their delegates upon request.

8.08.2 The records maintained and kept by a veterinarian shall include the name of the horse, the names of the owner and trainer, the date of the administration or prescription of the drug, substance or medication, and its nature.

8:10 Delete

NOTE: RULE 8.10.1 (c), (d) & (e) SHALL BE ENFORCED AS AT AUGUST 1, 2004

- 8.10.1** A veterinarian shall:
- (a) determine from the trainer or owner what drugs, substances or medications are being administered to the horse before prescribing or administering any drug, substance or medication and shall maintain that information in his or her records,
- (b) determine from the trainer or owner prior to prescribing or administering any drug, substance or medication to a horse if the horse is or will be entered to race during the period of time in which any drug, substance or medication may affect the performance of the horse or could result in the issuance of a certificate of positive analysis, if an official sample were tested for that drug, substance or medication, or metabolite or derivative of that drug, substance or medication,
- (c) advise the trainer or owner in writing:
- (i) of the detection limit for any drug, substance or medication identified in the *Schedule of Drugs*, as amended from time to time, published by the Canadian Pari-Mutuel Agency and the circumstances which would or could alter that detection limit set out in the *Schedule of Drugs*, including those related to condition of the horse, dosage, method of administration, format in which the medication is administered, or other circumstances,

(ii) if no detection limit is provided for in the *Schedule of Drugs*, an estimate of the detection limit based upon the veterinarian's professional opinion, after carrying out such research as is required for him or her to form a professional opinion,
and shall maintain a copy of that written advice in his or her records,

(d) advise the trainer or owner in writing of the potential or anticipated results of the administration of the drug, substance or medication on the horse and shall maintain a copy of that written advice in his or her records, and

(e) advise the trainer or owner in writing of any potential or anticipated side effects of the administration of the drug, substance or medication, including any that may result from interaction with any other drug, substance or medication that the veterinarian knows, ought to know or has reason to believe is being administered to the horse, and shall maintain a copy of that written advice in his or her records.

8.10.2 A veterinarian may comply with the requirements with 8.10.1(c), (d) and (e) if he or she provides the advice to the trainer in writing at least once every calendar year and there is no reason to provide the advice in writing again during that calendar year. The veterinarian, in deciding that there is no reason to provide the advice in writing again, shall take into account the health and safety of the horse, the integrity of racing, his or her knowledge of the practices and procedures of the trainer and the trainer's employees, his or her knowledge and experience with the drug, substance or medication, and such other factors that the veterinarian, acting reasonably, considers relevant.

8.10.3 A veterinarian may comply with 8.10.1(a) and (b) if he or she obtains the required information from a bona fide representative of the trainer or owner who has been designated by the trainer or owner to act on his or her behalf and the veterinarian has no reason to believe otherwise. A veterinarian may comply with 8.10.1(c),(d) and (e) if he or she provides the written advice to a bona fide representative of the trainer or owner who has been designated by the trainer or owner to act on his or her behalf and the veterinarian has no reason to believe otherwise.

8.10.4 A veterinarian shall only examine or treat a horse, or prescribe or administer a drug, substance or medication if he or she is in compliance with the requirements of the College of Veterinarians of Ontario, including, but not limited to, the *Minimum Standards for Veterinary Facilities in Ontario*, as amended from time to time.

8.11 A veterinarian may be held wholly or partially responsible if any drug, substance or medication administered or prescribed by him or her is a cause for:

- (i) the issuance of a certificate of positive analysis under the *Pari-Mutuel Betting Supervision Regulations*,
- (ii) an excess level of total carbon dioxide for purposes of the Rules, or
- (iii) the detection of the antibodies of erythropoietin or darbepoetin for purposes of the Rules

and, if held wholly or partially responsible, may be fined and/or suspended by the judges.

8.14 A veterinarian who dispenses a drug or medication shall label the container in which the drug or medication is dispensed with the following information:

1. name, strength and quantity of the drug or medication,
2. date dispensed,
3. name and address of the dispensing veterinarian,
4. identity of the horse for which it is prescribed,
5. name of the trainer of the horse, and
6. the directions for use and the detection limit determined in accordance with Rule 8.10.1

8.15 A veterinarian who owns, in whole or in part, a horse shall not treat another horse that is entered in a race in which the veterinarian's horse is entered. If the veterinarian has treated or otherwise provided veterinarian services for a horse that is entered in a race after the horse was entered, the horse owned by the veterinarian, in whole or in part, is not eligible to race and shall be scratched.

Chapter 11 HORSES PERMITTED TO RACE

11.07 – delete

Chapter 18 PLACING AND MONEY DISTRIBUTION

18.08(a) If, at a racetrack which does not have a continuous solid inside hub rail, a horse while on stride or part of the horse's sulky leaves the course by going inside the hub rail or other demarcation which constitutes the inside limits of the course the offending horse shall be placed back where, in the opinion of the judges, the action gave the horse an unfair advantage over other horses in the race, or the action helped the horse improve its position in the race. In addition, when an act of interference causes a horse or part of the horse's sulky to cross the inside limits of the course, and the horse is placed by the judges, the offending horse shall be placed behind the horse with which it interfered. Drivers, who in the opinion of the judges, leave the racing strip when not forced to do so as a result of the actions of another driver and/or horse may be fined or suspended by the judges.

Penalties for drivers violating proviso of Rule 18.08(a) are as follows:

1. For the first offence;
 - a fine of \$100 shall be assessed
2. For the second offence within a year of the first offence and within 750 drives of the first offence:
 - a fine of \$300 shall be assessed
3. For the third offence within a year of the first offence and within 750 drives of the first offence:
 - a minimum fine of \$500 plus a suspension for 3 days shall be assessed.
4. For the fourth offence within a year of the first offence and within 750 drives of the first offence
 - a minimum fine of \$1,000 and a suspension for 5 days shall be assessed

Chapter 19 TIME AND RECORDS

19.10

- (f)** Time trial performances shall be designated by preceding the time with two capital T's

Chapter 22 RACING RULES

22.23 Whipping shall be allowed provided that:

- (a) there are no blatant or exaggerated movements of the whipping arm which may result from raising the elbow above the driver's shoulder height and/or allowing the hand holding the whip to reach behind the driver during the encouragement (whipping) of the horse;
- (b) the whip strikes the horse between, inside and above the level of the shafts of the sulky only;
- (c) the horse appears to be advancing through the field;
- (d) a line is kept in each hand until the head of the stretch.

Penalties for violating proviso (a) of Rule 22.23 are as follows:

- 1. For the first offence;**
 - **a fine of \$100 shall be assessed**
- 2. For the second offence within a year of the first offence and within 750 drives of the first offence:**
 - **a fine of \$300 shall be assessed**
- 3. For the third offence within a year of the first offence and within 750 drives of the first offence:**
 - **a minimum fine of \$500 plus a suspension for 3 days shall be assessed.**
- 4. For the fourth offence within a year of the first offence and within 750 drives of the first offence**
 - **a minimum fine of \$1,000 and a suspension for 5 days shall be assessed**

Penalties for violating proviso (b) and (c) of Rule 22.23 and for violating Rule 22.23.1 shall be as follows:

- 1. For the first offence**
 - **a fine of \$100 plus a suspension for three days shall be assessed**
- 2. For the second offence within a year of the first offence and within 750 drives of the first offence**
 - **a fine of \$300 plus a suspension for five days shall be assessed**
- 3. For the third offence within a year of the first offence and within 750 drives of the first offence**
 - **a minimum fine of \$500 plus a suspension for 15 days shall be assessed**

4. Each additional offence within a year of the first offence and within 750 drives of the first offence shall be assessed at the third offence rate.

Penalties for violating proviso (d) of Rule 22.23 are as follows:

1. For the first offence;
 - a fine of \$100 shall be assessed
2. For the second offence within a year of the first offence and within 750 drives of the first offence:
 - a fine of \$300 shall be assessed
3. For the third offence within a year of the first offence and within 750 drives of the first offence:
 - a minimum fine of \$500 shall be assessed.
4. Each additional offence within a year of the first offence and within 750 drives of the first offence shall be assessed at the third offence rate

Chapter 35 ONTARIO STANDARDBRED EXERCISE INDUCED PULMONARY HAEMORRHAGE (EIPH) PROGRAM

35.05 If a horse has been enrolled in the EIPH Program, it shall remain on the Exercise Induced Pulmonary Haemorrhage (EIPH) List for 100 days from the date of its first or most recent certification and be administered Furosemide prior to any of its races regardless of any change of owner or trainer. **After being on the program for at least 100 days, if an endoscopic examination of the horse conducted within two hours of (a) a qualifying race or (b) a race conducted at a racetrack not providing an EIPH Program** upon the horse by a duly qualified veterinarian licensed by the Ontario Racing Commission, (provided that the horse has not been treated with furosemide prior to the qualifying race **or race** in question at a racetrack not providing an EIPH Program), shows no evidence of Exercise Induced Pulmonary Haemorrhage, the results of that examination are endorsed by the Commission Veterinarian or Official Veterinarian, **such horse may be removed from the EIPH Program and after this endoscopic examination date shall be eligible to be entered to race without being treated with furosemide prior to racing.** At a later date, if such horse bleeds it may be readmitted to the EIPH Program prior to the expiry of 100 days from the date of it having been removed from the program. If, however, an endoscopic examination of the horse, conducted within two hours of a qualifying race **or race conducted at a racetrack not providing a EIPH Program** upon the horse by a duly qualified veterinarian licensed by the Ontario Racing Commission, (provided that the horse that has not been treated with furosemide prior to the qualifying race **or a race in question conducted at a racetrack not providing an EIPH Program**) shows evidence of Exercise Induced Pulmonary Haemorrhage, the results of that examination are endorsed by the Commission Veterinarian or Official Veterinarian, and such horse bleeds at a later date, the horse may not be readmitted to the EIPH Program for at least 100 days calculated from the date of its most recent removal from the Program.

BY ORDER OF THE COMMISSION _____

Jean Major
Executive Director