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July 16, 2001

**THOROUGHBRED DIRECTIVE NUMBER 4 – 2001
RULES OF THOROUGHBRED RACING 2001**

The Ontario Racing Commission at its meeting of Wednesday, July 11, 2001, resolved that the Rules of Thoroughbred Racing 2001 be amended by the promulgation of the changes to the following rules effective immediately.

**CHAPTER 4
LICENSING**

Rule 4.01.8 - deleted

4.01.8(1)

Each licensee

- a) shall have in his or her possession a valid licence issued to the licensee whenever the licensee is:
 - i) in the stable area of the Association, or
 - ii) acting in a capacity for which a licence is required; and
- b) shall produce his or her licence upon request by an authorized representative of the Association, a racing official or a representative or member of the Commission.

4.01.8(2)

The Association shall ensure that no individual enters or is permitted to enter the stable area of the Association unless that individual:

- a) has in his or her possession a valid licence issued to that individual, or
- b) is a guest of a licensee who has in his or her possession a valid licence issued to that licensee and the guest is signed-in by that licensee and the Association has issued to the guest a "guest pass" approved of by the Stewards which the guest is obliged to have in his or her possession while in the stable area of the Association and to produce to an authorized representative of the Association, a racing official or a representative or member of the Commission.

Any Association that fails to comply with this rule, after notice from the Executive Director, shall be subject to a fine.

4.01.8(3)

Any person who fails or refuses to produce upon request by an authorized representative of the Association, a racing official or a representative or member of the Commission his or her valid licence or a guest pass issued to him or her pursuant to Rule 4.01.8(2) shall be subject to a fine and/or suspension by the Stewards in the case of a licensee or to removal from the premises by the Association in the case of a guest.

4.08(b)

The Ontario Racing Commission will recognize for a non-resident, who is participating in racing in Ontario, valid licences from recognized racing jurisdictions **or the National Racing Compact**. In order to be recognized the licensee must be in good standing in all jurisdictions and file or have filed on his or her behalf an Ontario Racing Commission reciprocal licensing form **or other form approved by the Commission**, outlining name, address and licensing particulars prior to racing. For the purpose of this rule, a non-resident of Ontario shall have the definition attributed to a non-resident of Canada in the Income Tax Act (Canada). Non-residents of Ontario who **have filed** or have **had** filed on their behalf **a** reciprocal licensing form shall be deemed to be licensees of the Ontario Racing Commission and subject to the Commission rules and directives. A validation sticker will be affixed upon request to licences when required for the purposes of allowing the recognized licensee access to those areas of the racing association where the licensee is obligated to perform his or her duties.

BY ORDER OF THE COMMISSION _____

Jean Major
Executive Director