Ontario Energy Board Act

https://www.ontario.ca/laws/statute/98o15

Requirement to provide information

(7) Every licence, other than a licence issued to the IESO, is deemed to contain a condition that the licensee is required to provide such reasonable information to the IESO as the IESO may require, in the manner and form specified by the IESO. 2014, c. 7, Sched. 23, s. 5.

Market Manual 5.5: LDCs Current Information Submission for Physical Markets Settlement Statements

MM5.5: section 1.6.7.7 Regulated Price Plan

Distributors that are *market participants* must submit the information to us online noting the amount of the claim for each category. The *IESO* portal is used to submit all information required from the *distributor*, embedded *distributor* or participating *retailer* to balance the market. *Settlement* data must be submitted to us monthly, as soon as possible after the last *trading day* of the month and no later than the fourth *business day* after the last *trading day* of the month. We process this information so that the *preliminary settlement statement* for the last *trading day* of the month indicates a *charge type* 1142 with the category noted in the Comments field.

MM5.5: section Global Adjustment Modifier

Licensed *distributors* and unit sub-*meter* providers that are *market participants* must submit their claims for reimbursement of the GA Modifier credits paid to their eligible customers. This claim must be submitted monthly to the IESO no later than the fourth *business day* after the last *trading day* of the month. The *settlement amount* for licensed *distributors* and unit sub-*meter* providers will be included on the *preliminary settlement statement* and *final settlement statement* for the last *trading day* of the month under *charge type* 1143 "Ontario Fair Hydro Plan Eligible Non-RPP Consumer Discount Settlement Amount". The corresponding set-off is *charge type* 1193 "Ontario Fair Hydro Plan Eligible Non-RPP Consumer Discount Balancing Amount".

MM5.5: section First Nation On-reserve Delivery Credit

Licensed *distributors* must submit their claims for reimbursement of the FNDC credits paid to their eligible customers. These claims must be submitted monthly to the IESO no later than the fourth *business day* after the last *trading day* of the month. The *settlement amount* for licensed *distributors* will be included on the *preliminary settlement statement* and *final settlement statement* for the last *trading day* of the month under *charge type* 705 "Ontario Fair Hydro Plan First Nations On-reserve Delivery Amount". The corresponding set-off is *charge type* 755 "MOE - Ontario Fair Hydro Plan First Nations On-reserve Delivery Balancing Amount".

MM5.5: section Distribution Rate Protection

Licensed *distributors* must submit their claims for reimbursement of the DRP credits paid to their eligible customers. This claim must be submitted monthly to the IESO no later than the fourth *business day* after the last *trading day* of the month. The *settlement amount* for licensed *distributors* will be included on the *preliminary settlement statement* and *final settlement statement* for the last *trading day* of the month under *charge type* 706 "Ontario Fair Hydro Plan Distribution Rate Protection Amount". The corresponding set-off is *charge type* 756 "MOE - Ontario Fair Hydro Plan Distribution Rate Protection Balancing Amount".

MM5.5: section Global Adjustment – Base and Adjustment Periods

Class B Market Participant Load Facilities and Distributors

The *distributor* data submission to the *IESO* for each month includes **Class A *consumer* consumption and embedded generation**. The data submission must be completed by the fourth *business day* after the month-end using the *embedded generation* form on the *IESO* portal which includes the Class A *consumer* consumption.

MM5.5: section: 1.6.11.2 Feed-in Tariff Program (FIT)

Submit FIT Program claims to us monthly as soon as possible after the last *trading day* of the month and no later than the fourth *business day* after the last *trading day* of the month. This submission is made using the on-line form "Feed-in Tariff Program" accessible on the *IESO* portal. We process the information as a manual line item so that the *settlement statements* for the last *trading day* of the month and monthly *invoices* indicates a *charge type* 1412 "Feed-in Tariff Program Settlement Amount" with the category noted in the comment field.

MM5.5: section: 1.6.18 Ontario Clean Energy Benefit

Market participant distributors and unit sub-*meter* providers must submit their claims for reimbursement to us monthly no later than the fourth business day after the last *trading day* of the month. The *settlement* amount for *market participant distributors* and unit sub-*meter* providers will be included on the *preliminary settlement statement* and *final settlement statement* for the last *trading day* of the month under *charge type* 9992 "Ontario Clean Energy Benefit (-10%) Program Settlement Amount". The corresponding set-off is *charge type* 1465 "Ontario Clean Energy Benefit (-10%) Program Balancing Amount". *Charge type* 1465 is balanced by the *IESO* through a charge to the Ministry of Energy on their *preliminary settlement statement* and *final settlement statement* for the last *trading day* of the month.

MM5.5: section: 1.6.29 Ontario Electricity Support Program

Distributors and unit sub-meter providers that are *market participants* must submit OESP claims to us via the on-line form "Ontario Electricity Support Program – LDC & USMP". Licensed *distributors* will submit OESP claims both for themselves and also on behalf of the embedded *distributors*. In order to obtain reimbursement from the *IESO*, service providers must be registered as program participants and submit OESP claims to the *IESO* using the on-line form "Ontario Electricity Support Program – Service Providers" which is accessible on the *IESO* portal. All OESP claims for the current *settlement* month must be received by the *IESO*, no later than the fourth *business day* after the last trading day of the month.

MM5.5: section: 1.6.32 Ontario Rebate for Electricity Consumers

Licensed *distributors* and unit sub-*meter* providers that are *market participants* must submit their claims for reimbursement to *IESO* monthly no later than the fourth *business day* after the last *trading day* of the month. The *settlement amount* for licensed *distributors* and unit sub-*meter* providers will be included on the *preliminary settlement statement* and *final settlement statement* for the last *trading day* of the month under *charge type* 9982 "Ontario Rebate for Electricity Consumers (8% Provincial Rebate) Settlement Amount". The corresponding set-off is *charge type* 1467 "Ontario Rebate for Electricity Consumers (8% Provincial Rebate) Balancing Amount".

<u>LDCs Information Submission for Ontario Fair Hydro Plan Clean Energy Adjustment – timing to be established</u>

Add to bills the CEA rate as established by the OEB

Provide certification that the segregated account required by Section 10(2) of the Regulations has been established by that Electricity Vendor *[discussion that this must be annual certification and some audit is required – IESO as agent to the FE oversees this]*;

Pay to the IESO any amounts in respect of Clean Energy Adjustments payable directly by Specified Consumers to the Servicer (such amounts, together with the amounts referred to in Section 3.3(d), the "**Servicer CEA Receipts**");

Provide to the IESO an Electricity Vendor Billing Period Report in respect of each Billing Period during which Clean Energy Adjustments are billed to Specified Consumers; such report to be requested to be delivered within twelve Business Days of the end of each Billing Period;

Respond to the IESO's review of each Electricity Vendor Billing Period Report received by the Servicer for material manifest discrepancies, including any discrepancy between the Electricity Vendor CEA Collections reported in respect of the Billing Period and the amount received;

Specifically respond to the IESO:

(i) If there is no delivery of an Electricity Vendor Billing Period Report in respect of a Billing Period where Clean Energy Adjustments were payable by Specified Consumers;

(ii) If there are no Electricity Vendor CEA Collections are received in respect of the Billing Period,

(iii) When Electricity Vendor CEA Collections do not match the amount thereof reported in its Electricity Vendor Billing Period Report, or

(iv) When there is no certified that it has established the segregated account required by Section 10(2) of the Regulations,

in each case, be ready for the IESO to remind of obligations under the Act and the Regulations and, in the case of Electricity Vendor CEA Collections that do not match the amount thereof reported in the Electricity Vendor Billing Period Report, to request an explanation of the discrepancy *[quickly, likely within the next billing period]*;

Be prepared to respond to an IESO report that goes monthly to the Issuer any failure on the part of Electricity Vendors to deliver, remit or certify referred to in (i), (ii) or (iv) which has not been remedied as a result of the contact made by the IESO pursuant to any discrepancy referred to in (ii), and the explanation provided therefor by the Electricity Vendor.

Be prepared to respond to an IESO's prompt notification to the Issuer in writing of any Requirement of Law hereafter adopted or announced or the occurrence of any other event or circumstance of which it becomes aware that has a material adverse effect on the Servicer's ability to perform its duties and obligations under this Agreement;

<u>Settlement Process for Month End Preliminary Settlement Statement Timeline</u>

Day 1	Data Submission from LDCs On Day 4, Initial Push of data to CRS (all Charge Types and used for GA calculations)
Day 2	
Day 3	
Day 4	
Day 5	Used to correct any issues from Day 1-4 and Initial calculations
Day 6	Forms are imported and processed manually
Day 7	Resolve any issues from previous day and updates to GA calculations
Day 8	Preliminary Push of all settlement data (meter, HOEP etc..) and Calculation
Day 9	Global Adjustment and Capacity Based Recovery Charge calculated
Day 10	Preliminary Settlement Statement Issued along with invoice to LDCs