

Message

From: Jo Chung [/O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=B7B0FC3E2C1244DC8C436312DF523ECD-JO CHUNG]
Sent: 2017-11-22 2:49:16 PM
To: Rebecca Short [/o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b8962b65533a42a4a89ba9679d53b637-Rebecca Sho]
Subject: RE: MR-00434 QDoc For Review: Revised as per TP suggestions

Fair point Rebecca. My additional tweaks may be redundant.

Jo Chung | Supervisor – Market Rules | Legal Resources and Corporate Governance|
Independent Electricity System Operator (IESO) | T: 905.855.4128 | F: 905.855.6371 |
Web: www.ieso.ca | Twitter: [IESO Tweets](#) | LinkedIn: [IESO](#)
Conservation: www.saveONenergy.ca | Twitter: [saveONenergyOnt](#) | LinkedIn: [saveONenergy](#)

From: Rebecca Short
Sent: November 22, 2017 2:47 PM
To: Jo Chung
Subject: RE: MR-00434 QDoc For Review: Revised as per TP suggestions

Based on your earlier comments I asked him to review our use of legislated and regulated. The Acts are legislation but the details are all in the regulations, so I'm not sure that we need to add any confusion by introducing "legislated" as well. We'll see what John says.
Rebecca

From: Jo Chung
Sent: November 22, 2017 2:34 PM
To: Rebecca Short
Subject: RE: MR-00434 QDoc For Review: Revised as per TP suggestions

Rebecca,

I would send John an email with the tracked changes as an FYI. Let him know we'll be publishing in tomorrow's bulletin.

Jo

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Conservation: www.saveONenergy.ca | Twitter: [saveONenergyOnt](#) | LinkedIn: [saveONenergy](#)

From: Rebecca Short
Sent: November 22, 2017 2:32 PM
To: Jo Chung
Subject: RE: MR-00434 QDoc For Review: Revised as per TP suggestions

Jo,
Are you saying that I need to run this by John?

From: Jo Chung
Sent: November 22, 2017 2:19 PM

To: Rebecca Short
Cc: Idalin McKenzie
Subject: RE: MR-00434 QDoc For Review: Revised as per TP suggestions

Rebecca,

I'm fine with your changes. The only additional proposed edits I have are the following:

Summary:

- First sentence: "with respect to regulated settlement based on legislation" – I believe the two Acts are legislation (John R can confirm)

Background:

- First sentence: "to address legislated/regulated settlement"

As for the slidedeck, I don't think we need to revise it. The key doct is the submission.

Jo

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Web: www.ieso.ca | Twitter: [IESO_Tweets](https://twitter.com/IESO_Tweets) | LinkedIn: [IESO](https://www.linkedin.com/company/ieso)
Conservation: www.saveONenergy.ca | Twitter: [saveONenergyOnt](https://twitter.com/saveONenergyOnt) | LinkedIn: [saveONenergy](https://www.linkedin.com/company/saveONenergy)

From: Rebecca Short
Sent: November 22, 2017 1:44 PM
To: Jo Chung
Cc: Idalin McKenzie
Subject: MR-00434 QDoc For Review: Revised as per TP suggestions

Jo,

I made changes throughout – "regulation based settlement" replaced with "regulated settlement" or "regulated settlement amount". Also, as per Sarah Griffiths comments during TP and Bill Wilbur's suggestion following TP, I added a descriptive sentence in the summary to explain what regulated settlement is. I didn't want to deviate too far from the submission document that they voted on today. Note that the market rules title for section 1.2.1 is "Regulated Settlement Amounts and Related Payment Charges"

Please let me know what you think. Also, do I need to revise the slidedeck?

Rebecca