

Message

From: Jo Chung [/O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=B7B0FC3E2C1244DC8C436312DF523ECD-JO CHUNG]
Sent: 2018-04-03 11:44:34 AM
To: Rebecca Short [/o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b8962b65533a42a4a89ba9679d53b637-Rebecca Sho]
Subject: RE: MR-00434: docs for review
Attachments: IESOTP-20180417-4b-MR-00434-R00-Regulated-Settlement-Amendment-Proposal-v4.8 clean.docx; IESOTP-20180417-4b-MR-00434-R00-Regulated-Settlement-Amendment-Proposal-v4.8.docx; IESOTP-20180417-4a-MR-00434-Regulated-Settlement-Cover-Memo-v0.2.doc

Rebecca,

Pls find attached the changes as discussed (also saved in the folders). For the re-ordering within the R00, I am fine either way (I will leave it to you).

Jo

Jo Chung | Supervisor – Market Rules | Legal Resources and Corporate Governance |
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From: Rebecca Short
Sent: April 03, 2018 10:23 AM
To: Jo Chung
Subject: MR-00434: docs for review

Jo,

I've reworked the amendment proposal to reflect the comments from Stephen Hutchison. I have yet to hear back from John Rattray on whether or not we should introduce a new obligation in the market rules for the IESO to have to maintain a list of settlement amounts (i.e., the CT&E manual).

In the meantime, assuming that we will not be introducing such an obligation, please review the attached memo and proposal. These will be circulated to the group prior to the pre-TP meeting which is scheduled for tomorrow.

Rebecca Short | Senior Market Rules Advisor - Market Rules Group | Legal Resources and Corporate Governance |
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