

Message

From: Anthony Martinello [/O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=3FB7D76317B34780B15D96309B995C31-ANTHONY MAR]
Sent: 2018-07-08 1:48:30 PM
To: Barb Anderson [/o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=aed9ac5b497c451d900820d1687ba9e9-Barb Anders]
CC: Susan Nicholson [/o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=f668e601bd2b4865a5c31dca531047c8-Susan Nicho]; Megan Filey [/o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=ea0b4cc1f5114f958e355198bb05e970-Megan Filey]
Subject: RE: Summary of Controls
Attachments: Item 15(v) IESO Finance Treasury Policy Update June 2017 - MoF-Approval.pdf

Barb, I will connect with Megan/Susan to discuss.

FYI attached is the IESO Treasury Policy (aka investment). Regards.

From: Barb Anderson
Sent: July 06, 2018 3:35 PM
To: Megan Filey
Cc: Anthony Martinello; Susan Nicholson
Subject: RE: Summary of Controls

Hi Megan

This is a great start.

How are we going to incorporate all the Standards with this? A couple that seems to be missing are the Business Expense Standard and Investment Policy.

I had expected to see way more Standards and Policies. Can you please see if this captures all the policies, standards and Procedures that we have. Anthony and Susan, where should Megan go to find the comprehensive list?

We can discuss further if it would be helpful.

Cheers

Barbara Anderson
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From: Megan Filey
Sent: July 06, 2018 3:26 PM
To: Barb Anderson
Cc: Anthony Martinello; Susan Nicholson
Subject: Summary of Controls

Hi Barb,

As requested, attached is a summary of the controls in place. I've included under Business Practices and Processes those controls that are part of the day to day but are not necessarily documented as part of the policies (such as segregation of duties, which is generally managed through system access restrictions).

Please let me know when you'd like to review these in advance of reporting to them to the audit committee chair. Additionally, if you would like further specifics or more granular details, please let me know.

Thanks

Megan

Megan Filey

Senior Advisor, Business Transformation

Corporate Controller Department, Corporate Services

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