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September 17, 2018

VIA REGULAR MAIL
BOARD SECRETARY
Ontario Energy Board,
2300 Yonge Street, 27th Floor
P.O. Box 2319
Toronto, M4P 1E4, ON

RECEIVED

SEP 25 2018

ONTARIO ENERGY BOARD

Re: Access or Correction Request

Dear Board Secretary:

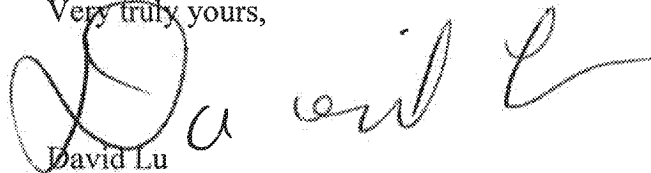
Enclosed for processing please find the following:

CONFIDENTIAL

1. Completed Access or Correction Request Form;
2. Addendum 1 to Access or Correction Request;
3. Exhibit 1 to Addendum to Access or Correction Request – copy of O. Reg. 429/04: ADJUSTMENTS UNDER SECTION 25.33 OF THE ACT Section 1.1(1).
4. Check No. 3349 drawn to the order of the Ontario Energy Board, for the sum of Five Dollars (\$5.00) and dated September 14, 2018.

Thank you in advance for your prompt attention to this matter. If you have any questions, please do not hesitate to contact me at 718-382-4500 ext. 224 or by email at dlu@utilisave.com.

Very truly yours,



David Lu

Encl.

Access or Correction Request

*Freedom of Information and Protection of Privacy Act
Municipal Freedom of Information and Protection of Privacy Act*

Please see instructions on page 2 before filling out this form

A. Type of Request

- ☒ Access to general records (non-personal information)
☐ Access to own personal information
☐ Access to other's personal information by authorized party
☐ Correction of own personal information

Name of institution request made to

Ontario Energy Board, 2300 Yonge Street, 27th Floor, P.O. Box 2319, Toronto, M4P 1E4, ON

B. Requester's Information

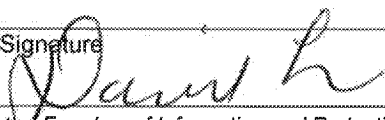
Last name Lu		First name David		Middle initial
Unit/Apt. no. 11 Fl	Street no. 129	Street name West 27 th Street		PO box
City/Town New York		Province New York, USA		Postal code 10001
Home phone no. (include area code)		Business/Mobile phone no. (include area code & extension) 718 382-4500 ext 224		

C. Description of Records or Correction Requested

Please See Attached Addendum 1 to Access or Correction Request

Time period of the records		Method of access
From (yyyy/mm/dd) 2017/09/01	To (yyyy/mm/dd) 2018/09/01	☒ Receive copy ☐ Examine original (on site only)

D. Payment and Signature

\$5 application fee	Signature 	Date (yyyy/mm/dd) 2018/09/17
☒ Cheque ☐ Cash (in person only)		

Personal information contained on this form is collected under the *Freedom of Information and Protection of Privacy Act* or *Municipal Freedom of Information and Protection of Privacy Act* and will be used to answer your request.

Questions about this collection should be directed to the Freedom of Information and Privacy Coordinator at the institution where you make the request.

E. Institution Use Only

Date received (yyyy/mm/dd)	Request no.	Comments
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Instructions for Completing Access or Correction Request

Informal Access to Records

Many records of public institutions are available to you without making a request under the *Freedom of Information and Protection of Privacy Act* or the *Municipal Freedom of Information and Protection of Privacy Act*. Contact the Freedom of Information and Privacy (FOIP) Coordinator at the institution that holds the records to determine whether you need to make a formal request.

A. Type of Request

Check the box that indicates what you are requesting. (Records that do not contain personal information are general records.)

The FOIP Coordinator is required to verify your identity before giving you access to your own personal information.

If you are requesting another person's personal information records, you must provide proof that you have the authority to act for them (e.g., power of attorney, guardian or trusteeship order).

B. Requester's Information

Please ensure you have entered your name, address and telephone numbers accurately.

C. Description of Records or Correction Requested

Provide as much detail as possible about the requested general records, own personal information, other's personal information or correction of own personal information. Use a separate sheet of paper if you need more space and attach it to this form.

If you are requesting personal information records, provide the name that should appear on them.

Specify the time period for the records as precisely as possible, e.g., from 2008/07/21 to 2009/11/30.

If you are requesting a correction of your own personal information records, describe the correction you want and provide any supporting documents. If possible, provide copies of the information to be corrected and the information you wish to have it replaced with.

Check a box to indicate whether you want to examine original documents (which may only be done on site) or receive copies.

D. Payment and Signature

A \$5 application fee is required. Cash payments must be made in person.

Make cheques payable to the appropriate payee of the institution that holds the records. The payee for Government of Ontario ministries is the Minister of Finance.

Sign and date the form and mail it or submit it in person to the institution that holds the records.

**ADDENDUM 1 TO ACCESS OR CORRECTION REQUEST
(THIS REQUEST IS DEEMED TO SUPPLEMENT TO THE REQUEST OF SEPT 13,
2018)**

TIME PERIOD

The documents requested in this Access or Correct Request shall cover the period from 2017/09/01 to 2018/09/01, and if there is set period of calculation and adjustment for the GA, for each relevant period that straddles the requested period.

DEFINITIONS

1. "Global Adjustment Funds" shall have mean "the Global Adjustment for a month is the amount calculated by the IESO using the formula $(A - B) + (C - D) + (E - F) + G + H$ as set forth in O. Reg. 429/04: ADJUSTMENTS UNDER SECTION 25.33 OF THE ACT Section 1.1(1).
2. "IESO" shall mean Independent Electricity System Operator.
3. "kWh" shall mean kilowatts per hour.

DOCUMENT REQUESTS

1. Documents concerning the criteria that demonstrates how the Global Adjustment Funds are allocated by the Ontario Energy Board to the various recipients.
2. Documents concerning how often Global Adjustment Fund are allocable to each utility.
3. Documents concerning the percentage of Global Adjustment Funds allocated within each utility to each customer.
4. Documents concerning the calculations for how Global Adjustment Funds allocated to each utility and/or to each customer for the for such for the period from 2017/09/01 to 2018/09/01.
5. Document concerning how many utilities participate in the Global Adjustment program.
 - a. For each participating utility, documents concerning how Global Adjustment Funds are allocated to each participating utility.
6. Documents concerning percentage of Global Adjustment funds allocated and/or paid to the following entities:
 - a. The IESO as set forth in O. Reg. 429/04: ADJUSTMENTS UNDER SECTION 25.33 OF THE ACT Section 1.1(1);

- b. Generators as set forth in as set forth in O. Reg. 429/04: ADJUSTMENTS UNDER SECTION 25.33 OF THE ACT Section 1.1(1);
 - c. The Financial Corporation under Section 78.2 of the Ontario Energy Board Act, 1998 as set forth in as set forth in O. Reg. 429/04: ADJUSTMENTS UNDER SECTION 25.33 OF THE ACT Section 1.1(1);
 - d. Generation Facilities with respect to output generated at, and ancillary services provided at, generation facilities that are prescribed under the Ontario Energy Board Act, 1998 as set forth in as set forth in O. Reg. 429/04: ADJUSTMENTS UNDER SECTION 25.33 OF THE ACT Section 1.1(1);
 - e. Generators and other persons or entities with respect to output generated by units at generation facilities and ancillary services in respect of which the IESO has entered into procurement contracts under Part II.2 of the Electricity Act, 1998 as set forth in O. Reg. 429/04: ADJUSTMENTS UNDER SECTION 25.33 OF THE ACT Section 1.1(1);
 - f. Persons or entities with whom IESO has entered into a procurement contract under Part II.2 of the Electricity Act, 1998, as set forth in O. Reg. 429/04: ADJUSTMENTS UNDER SECTION 25.33 OF THE ACT Section 1.1(1); and
 - g. Distributors that are entitled to payment by the IESO as set forth in as set forth in O. Reg. 429/04: ADJUSTMENTS UNDER SECTION 25.33 OF THE ACT Section 1.1(1).
7. Documents related to each entity and/or person that received an allocation and/or payment of Global Adjustment funds as set forth in O. Reg. 429/04: ADJUSTMENTS UNDER SECTION 25.33 OF THE ACT Section 1.1(1).
 8. Documents concerning the classification of the Global Adjustment Funds by the IESO.
 9. Documents concerning the classification of Global Adjustment Funds by the Ontario Energy Board.
 10. Documents concerning any consideration and/or exchange of goods and/or services received from the recipients of funds from the Global Adjustment Fund, and how that consideration is distributed by the Ontario Energy Board.
 11. Documents concerning any consideration and/or exchange of goods or services by the IESO for the Global Adjustment Funds.
 12. Documents any consideration and/or exchange of goods received by the IESO for the Global Adjustment Funds.

13. Documents concerning the amount of Global Adjustment Funds allocated for the production kWh.

- a. Documents concerning the actual monthly production of kWh each month, and
- b. How such allocation is divided among the participants that receive Global Adjustment Funds.

Exhibit 1

Global adjustment

1.1 (1) For the purposes of this Regulation, the global adjustment for a month is the amount calculated by the IESO using the formula,

$$(A - B) + (C - D) + (E - F) + G + H$$

in which,

"A" is the total amount payable by the IESO under section 78.1 of the *Ontario Energy Board Act, 1998* to generators who are prescribed under that Act for the purposes of that section with respect to output for the previous month from units at generation facilities that are prescribed under that Act for the purposes of that section,

"B" is the total amount that, but for section 78.1 of the *Ontario Energy Board Act, 1998*, would be payable by the IESO under the market rules to generators referred to in "A" on behalf of those generators with respect to the output referred to in "A",

"C" is the amount payable by the IESO to the Financial Corporation under section 78.2 of the *Ontario Energy Board Act, 1998* for the previous month, less amounts payable by licensed distributors with respect to output for the previous month from generation facilities that are prescribed under that Act for the purposes of that section,

"D" is the amount that, but for section 78.2 of the *Ontario Energy Board Act, 1998*, would be payable by the IESO under the market rules for the previous month with respect to output generated at, and ancillary services provided at, generation facilities that are prescribed under that Act for the purpose of that section and for which the Financial Corporation is the metered market participant,

"E" is the amount payable by the IESO to generators and other persons or entities with respect to output generated by units at generation facilities and ancillary services in respect of which the IESO has entered into procurement contracts under Part II.2 of the *Electricity Act, 1998* for the previous month, less amounts

payable by licensed distributors to the IESO for the previous month in respect of procurement contracts referred to in that Part,

"F" is the amount that would be payable to the IESO under the market rules for the previous month with respect to output generated by units at generation facilities and ancillary services in respect of which the IESO has entered into procurement contracts under Part II.2 of the *Electricity Act, 1998* and that are generated or provided at generation facilities for which the IESO is the metered market participant,

"G" is the amount paid or payable by the IESO to persons or entities with whom the IESO has entered into a procurement contract under Part II.2 of the *Electricity Act, 1998* for the previous month, and

"H" is the sum of all amounts approved by the Board under section 78.5 of the *Ontario Energy Board Act, 1998* that are payable by the IESO to distributors for the month.

O. Reg. 430/10, s. 1; O. Reg. 285/14, s. 2.