

2017 IESO Internal Audit Services Plan

January	February	March	April	May	June	July	August	September	October	November	December
	AC		AC		AC		AC		AC		AC
2016: Business Continuity,					Update Audit Universe		Develop and Submit 2018-2020 IA Business Plan and Services Plan		Finalize 2018 - 2020 IA Services Plan		◆
2016: Enforcement									Internal Audit Quality Assurance Improvement Program (year 2)		
2016: MOU Compliance						2016: Operations Planning			MOU compliance		
		NPCC Readiness Assessment									
2016: IT Back Up					IT Governance (external)						
2016: Financial Budgeting				MMP Independence	Nuclear Contract Management						
Schedule Interchange Enhancement Project SDLC											
Separation of Corporate and Global Adjustment Transactions Control Consultation											
						MDMR Data Access Project SDLC					
Market Settlement CSAE 3416 (external)											
			LDC Conservation Plan & Program Review & Approval (external)								
			Network Model Process & Data						Dispatch Algorithm Review		
			Control Environment & Risk Assessment								
Regulated Price Plan Self Certification Program					EUCT & Cloud Computing Policies						
Office of CEO and Chair of the Board Expenses Review (Monthly)											
	Claims Adjudication				Stakeholder Engagement						
					IT incident & Problem						
Ethicsline									CPM Review		
	Fairness Commissioner for Regulation RFP								Records Management		
2016: Payroll Services											
	CPM Review				Legend AC= Audit Committee Meeting Planned - Staff Planned - external In Progress Completed						