

## Organizational Structure of Applications Guidance

The OEB outlines its expectations for applications through handbooks and filing requirements. Handbooks outline the key principles and expectations the OEB will apply when reviewing applications. The OEB expects utilities to file applications consistent with the handbooks unless a utility can demonstrate a strong rationale for departing from it. The OEB continues to maintain filing requirements and guidelines, which assist utilities by identifying specific information that needs to be provided in an application. Utilities must continue to meet these requirements in addition to filing applications consistent with the handbooks.

OEB staff is undertaking a review of the organization of the various handbooks and filing requirements. At present there are separate documents for natural gas and electricity filing requirements, but the naming is often similar. For example, there are Chapters 1 and 2 in the *Filing Requirements for Natural Gas Rate Applications* and there are also Chapters 1 and 2 in the *Filing Requirements for Electricity Distribution Rate Applications*. It can therefore be confusing at times to know which 'Chapter 1' is being referenced.

In addition, for some application types separate handbooks are available to provide guidance on how the OEB will assess those applications, but for other application types, this information is contained in the same document as the filing requirements that set out the specific information that needs to be filed. Although all of the applicable documents are available on the OEB website, it can be confusing to find all of the requirements.

OEB staff is therefore proposing to develop a consolidated document that would provide guidance and filing requirements on all applications. In addition, OEB staff is considering developing a separate compendium of policies that underpin the various applications. The format for the *Applications Guidance Compendium is attached as Appendix A*. Items are identified as per their current titles, which may change if this format is implemented.

## **Appendix A**

### **Applications Guidance Compendium**

#### **1.0 Overview** (currently Chapter 1)

#### **2.0 Rates**

- 2.1 Handbook for Utility Rate Applications
- 2.2 Chapter 2 of the Filing Requirements for Electricity Distribution Rate Applications – Cost of Service
- 2.3 Chapter 5 of the Filing Requirements for Electricity Distribution Rate Applications - Consolidated Distribution System Plan
- 2.4 Chapter 3 of the Filing Requirements for Electricity Distribution Rate Applications – Incentive Rate-setting
- 2.5 Chapter 2 of the Filing Requirements for Electricity Transmission Applications –Revenue Requirements Applications
- 2.6 Filing Guidelines for Ontario Power Generation Inc. – Setting Payment Amounts for Prescribed Generation Facilities
- 2.7 Chapter 2 of the Filing Requirements for Natural Gas Rate Applications
- 2.8 Natural Gas Supply Plan Filing Requirements (TBD)
- 2.9 Quarterly Rate Adjustment Mechanism Filing Requirements (TBD)

#### **3.0 Facilities**

- 3.1 Handbook for Facilities Applications (TBD)
- 3.2 Chapter 4 of the Filing Requirements for Transmission and Distribution Applications, Electricity Transmission Projects under Section 92 of the OEB Act
- 3.3 Certificate of Public Convenience and Necessity Filing Requirements (TBD)
- 3.4 Municipal Franchise Agreement (MFA) Filing Requirements (TBD)
- 3.5 Natural Gas Transmission Projects Filing Requirements (TBD)
- 3.6 Natural Gas Storage Pool Filing Requirements (TBD)
- 3.7 Natural Gas Well Drilling License Filing Requirements (TBD)

#### **4.0 Consolidations**

- 4.1 Handbook to Electricity Distributor and Transmitter Consolidations
- 4.2 Filing Requirements for Consolidation Applications
- 4.3 Filing Guidelines for a Combined Service Area Amendment and Asset Transfer Application

## **5.0 Conservation**

- 5.1 Conservation and Demand Side Management Requirement Guidelines for Electricity Distributors
- 5.2 Filing Guidelines to the Demand Side Management Framework for Natural Gas Distributors
- 5.3 Filing Guidelines for Natural Gas Utility Cap and Trade Compliance Plans