

The Ontario Securities Commission

OSC Bulletin

Cumulative Index 2022

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December 22, 2022

The Ontario Securities Commission carries out the powers, duties and functions given to it pursuant to the *Securities Commission Act, 2021* (S.O. 2021, c. 8, Sched. 9).

The Ontario Securities Commission exercises its regulatory oversight function through the administration and enforcement of Ontario's *Securities Act* (R.S.O. 1990, c. S.5) and *Commodity Futures Act* (R.S.O. 1990, c. C.20), and administration of certain provisions of the *Business Corporations Act* (R.S.O. 1990, c. B.16).

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Introduction to the OSC Bulletin Cumulative Index

This publication is a cumulative index of documents published in the OSC Bulletin (Volume 45) for the period January – December 2022, inclusive.

On Friday, April 29, 2022, the *Securities Commission Act, 2021*, (SCA) came into force by proclamation of the Lieutenant Governor of Ontario. The SCA's proclamation implemented key structural and governance changes to the OSC: the separation of the OSC Chair and Chief Executive Officer roles, and the creation of a new Capital Markets Tribunal. These new structural and governance changes are now reflected in the Bulletin, with one section to report and record the activities of the Capital Markets Tribunal and one section to report and record the activities of the Ontario Securities Commission. The changes are also reflected in the Bulletin index with the use of the "Capital Markets Tribunal" designation to differentiate those proceedings from the proceedings of the Ontario Securities Commission: www.capitalmarketstribunal.ca.

The index includes material found in the following Chapters, as named prior to April 29, 2022: 1 (Notices); 2 (Decisions, Orders and Rulings); 3 (Reasons: Decisions, Orders and Rulings); 4 (Cease Trading Orders); 5 (Rules and Policies); 6 (Request for Comments); 9 (Legislation); 12 (Registrations); 13 (SROs, Marketplaces and Clearing Agencies); and, 25 (Other Information).

The index includes material found in the following Chapters, as named after April 29, 2022: A.1 (Notices of Hearing); A.2 (Other Notices); A.3 (Orders); A.4 (Reasons and Decisions); B.1 (Notices); B.2 (Orders); B.3 (Reasons and Decisions); B.4 (Cease Trading Orders); B.5 (Rules and Policies); B.6 (Request for Comments); B.8 (Legislation); B.10 (Registrations); B.11 (SROs, Marketplaces, Clearing Agencies and Trade Repositories); and, B.12 (Other Information).

Material found in Chapters 7/B.7 (Insider Reporting) and 11/B.9 (IPOs, New Issues and Secondary Financings) are not indexed.

All corporate and personal names that appear in the bold titles of each document published in the indexed chapters of the Bulletin are indexed. The tables found in Chapters 4/B.4 and 12/B.10 are indexed by corporate name only. When tables appear in Chapter 25/B.12, they are indexed by corporate name only.

Main index entries are arranged alphabetically by a corporate or personal last name in boldface font. Sub-entries include a statutory instrument cited (if applicable) and finding information consisting of the issue number, issue date, and page number. Citations are to the *Securities Act* unless otherwise specified.

Supplements in 2022

Three (3) Supplements were published in 2022:

1. **CSA Staff Notice and Request for Comment 25-304 Application for Recognition of New Self-Regulatory Organization and CSA Staff Notice and Request for Comment 25-305 Application for Approval of the New Investor Protection Fund** – Volume 45, Issue 19, Supplement 1 (May 12, 2022).
2. **CSA Staff Notice of Approval 25-307 Recognition of New Self-Regulatory Organization of Canada and CSA Staff Notice of Approval 25-308 Approval and Acceptance of Canadian Investor Protection Fund** – Volume 45, Issue 47, Supplement 2 (November 24, 2022).
3. **OSC Notice of Publication – Repeal and Replacement of Ontario Securities Commission Rule 13-502 Fees and Ontario Securities Commission Rule 13-503 (Commodity Futures Act) Fees, Replacement of their Companion Policies and Related Consequential Amendments and Changes** – Volume 45, Issue 48, Supplement 3 (December 1, 2022).

Particular Instruments, Policies and Forms affected in the Supplements appear in the Cumulative Index.

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Notice from the Governance & Tribunal Secretariat	#30 (July 28) 7053
Notice from the Governance & Tribunal Secretariat	#35 (September 1) 7712
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Bridging Indigenous Impact Fund

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Notice from the Governance & Tribunal Secretariat	#30 (July 28) 7053
Notice from the Governance & Tribunal Secretariat	#35 (September 1) 7712
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Bridging Infrastructure Fund LP

Notice from the Office of the Secretary	#12 (March 24) 3028
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Notice from the Governance & Tribunal Secretariat	#28 (July 14) 6699
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Notice from the Governance & Tribunal Secretariat	#30 (July 28) 7053
Notice from the Governance & Tribunal Secretariat	#35 (September 1) 7712
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Bridging Private Debt Institutional LP

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Notice from the Governance & Tribunal Secretariat	#30 (July 28) 7053
Notice from the Governance & Tribunal Secretariat	#35 (September 1) 7712
Notice from the Governance & Tribunal Secretariat	#39 (September 29) 8312
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Notice from the Governance and Tribunal Secretariat	#48 (December 1) 9921
Capital Markets Tribunal Reasons and Decision – ss. 16.1, 25.0.1 of the Statutory Powers Procedure Act	#48 (December 1) 9925

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Capital Markets Tribunal Order – s. 2(2) of Tribunal Adjudicative Records Act, 2019 and subrules 22(2)(c), 22(4) of Capital Markets Tribunal Rules of Procedure and Forms	#28 (July 14) 6705
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Bridging SMA 1 LP

Notice from the Office of the Secretary	#12 (March 24) 3028
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Notice from the Governance & Tribunal Secretariat	#30 (July 28) 7053
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Brix RCR Inc.

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BrokerTec Europe Limited

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Brookfield Business Corporation

Decision	#10 (March 10) 2445
Decision	#10 (March 10) 2452
Decision	#41 (October 13) 8711

Brookfield Business Partners L.P.

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Decision	#41 (October 13) 8711

Brookfield Infrastructure Corporation

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Brookfield Property Finance ULC

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Brookfield Property Partners L.P.

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Brookfield Property Preferred Equity Inc.

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Brownstone Asset Management Inc.

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BRP Inc.

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Buffalo Central LLC

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Buffalo Grand Hotel Inc.

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Global TreeGro Inc.

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Gluskin Sheff + Associates Inc.

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Gnomestar Craft Inc.

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Gold Port Corporation

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Golden Star Resources Ltd.

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Goldeneye Resources Corp.

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Goss, Donald Alexander (Sandy)

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Go-To Spadina Adelaide Square Inc.

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Graham, Dmitri

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Great Bear Royalties Corp.

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Great Canadian Gaming Corporation

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Great Panther Mining Limited

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Green Block Mining Corp.

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Green Environmental Technologies Inc.

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GreenBank Capital Inc.

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GS Investment Strategies Canada Inc.

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Guardian Capital LP

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Guardpath™ Managed Decumulation 2042 Fund

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Hamilton Capital Partners Inc.

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Harris, Johnathan

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Hello Pal International Inc.

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Hempfusion Wellness Inc.

Cease Trading Order	#28 (July 14) 6753
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Heritage Cannabis Holdings Corp.

Cease Trading Order	#10 (March 10) 2507
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Hexavest Inc.

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HEXO Corp.

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High Fusion Inc.

Cease Trading Order	#1 (January 6) 97
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Cease Trading Order	#4 (January 27) 1099

Highstreet Asset Management Inc.

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Hilson, William

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HMH China Investments Limited

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HMW Capital Inc.

Voluntary Surrender	#2 (January 13) 655
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Hogg, Troy Richard James

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Hoovest Financial Inc.

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Horizons ETFs Management (Canada) Inc.

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HRU Financials Ltd.

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HSBC Securities (Canada) Inc.

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HSBC Securities (USA) Inc.

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Hush Securities Inc.

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Hyams, H. Samuel

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Hydro One Limited

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I.G. Investment Management Inc.

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i3 Interactive Inc.

Cease Trading Order	#38 (September 22) 8197
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iA Global Asset Management Inc.

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iA Global Asset Management Inc./iA Gestion mondiale d'actifs inc.

New Registration.....	#41 (October 13) 8939
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iA Private Wealth Inc.

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IBI Group Inc.

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Icanic Brands Company Inc.

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iCapital IFM, LLC

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iCapital Network Canada Ltd. / Réseau iCapital CAN Ltée

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ICE Bonds Securities Corporation

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ICPP Funds Ltd.

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IMAX Corporation

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iMining Technologies Inc.

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Imperial Helium Corp.

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Imperial Oil Limited

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Industrial Alliance Investment Management Inc.

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Instinet Canada Cross Limited

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Integra Capital Limited

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Integrity Capital Group Inc.

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Intellabridge Technology Corporation

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Interactive Brokers Canada Inc.

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Intertape Polymer Group Inc.

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Invesco Canada Ltd.

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Investors Group Securities Inc.

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Invictus MD Strategies Corp.

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Ionic Brands Corp.

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Itwaru, Andre

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NatWealth Management (USA) Inc.

Decision	#7 (February 17) 1626
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NDG Capital Inc. / Investissements NDG Inc.

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Neo Exchange Inc.

Marketplaces – Proposed Public Interest Rule Amendments to the Neo Exchange	
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Neo Lithium Corp.

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Neptune Wellness Solutions Inc.	
Cease Trading Order	#48 (December 1) 9959
Net Zero Renewable Energy Inc.	
Cease Trading Order	#15 (April 14) 3977
Netcoins Inc.	
Decision	#14 (April 7) 3549
New Carolin Gold Corp.	
Order	#40 (October 6) 8535
New Klondike Exploration Ltd.	
Cease Trading Order	#34 (August 25) 7607
Order – s. 144	#35 (September 1) 7731
New Placer Dome Gold Corp.	
Order	#23 (June 9) 5635
Newfoundland Power Inc.	
Decision	#23 (June 9) 5658
Newlox Gold Ventures Corp.	
Cease Trading Order	#32 (August 11) 7287
Cease Trading Order	#36 (September 8) 7887
Newton Crypto Ltd.	
Decision	#33 (August 18) 7429
New Registration	#33 (August 18) 7557
NexJ Systems Inc.	
Order	#47 (November 24) 9801
NextPoint Financial Inc.	
Cease Trading Order	#14 (April 7) 3607
Cease Trading Order	#15 (April 14) 3977
Cease Trading Order	#16 (April 21) 4307
Cease Trading Order	#17 (April 28) 4523
Cease Trading Order	#18 (May 5) 4727
Cease Trading Order	#19 (May 12) 4845
Cease Trading Order	#20 (May 20) 5083
Cease Trading Order	#21 (May 26) 5237
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Request for Comment – Proposed Amendments and Proposed Changes to Implement an Access-Based Model for Investment Fund Reporting Issuers.....	#39 (September 29)	8357

NI 44-101 Short Form Prospectus Distributions

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NI 44-102 Shelf Distributions

CSA Notice and Request for Comment – Proposed Amendments and Proposed Changes to Implement an Access Equals Delivery Model for Non-Investment Fund Reporting Issuers.....	#14 (April 7)	3609
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NI 44-103 Post-Receipt Pricing

CSA Notice and Request for Comment – Proposed Amendments and Proposed Changes to Implement an Access Equals Delivery Model for Non-Investment Fund Reporting Issuers.....	#14 (April 7)	3609
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NI 45-102 Resale of Securities

CSA Notice of Amendments	#36 (September 8)	7843
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NI 45-106 Prospectus Exemptions

CSA Notice of Amendments	#36 (September 8)	7843
Notice of Coming into Force.....	#47 (November 24)	9799
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Rules and Policies	#49 (December 8)	10161

NI 51-102 Continuous Disclosure Obligations

CSA Notice and Request for Comment – Proposed Amendments and Proposed Changes to Implement an Access Equals Delivery Model for Non-Investment Fund Reporting Issuers.....	#14 (April 7)	3609
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NI 52-108 Auditor Oversight

CSA Notice of Publication – Amendments	#2 (January 13)	287
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NI 71-102 Continuous Disclosure and Other Exemptions Relating to Foreign Issuers

CSA Notice and Request for Comment – Proposed Amendments and Proposed Changes to Implement an Access Equals Delivery Model for Non-Investment Fund Reporting Issuers.....	#14 (April 7)	3609
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NI 81-101 Mutual Fund Prospectus Disclosure

CSA Notice and Request for Comment	#4 (January 27)	1101
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NI 81-106 Investment Fund Continuous Disclosure

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OSC Notice of Coming into Force	#11 (March 17)	2723
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NiCAN Limited

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Ninepoint Energy Fund

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Ninepoint Partners LP

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Decision	#9 (March 3) 2175
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NISA Investment Advisors, LLC

Decision	#10 (March 10) 2463
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Noah Canada Wealth Management Limited

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Noront Resources Ltd.

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North End Capital Management Ltd.

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Northcore Resources Inc.

Cease Trading Order	#10 (March 10) 2507
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Northwest and Ethical Investments L.P.

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NOVA Gas Transmission Ltd.

Decision	#33 (August 18) 7419
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Nova Net Lease REIT

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Nova Scotia Power Incorporated

Decision	#39 (September 29) 8344
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Novamind Inc.

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NP Investment Management Inc.

New Registration.....	#38 (September 22) 8303
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Nuance Communications, Inc.

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Nutrien Ltd.

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Capital Markets Tribunal Notice of Hearing – ss. 127(1), 127(10)	#30 (July 28) 7047
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Oak Hill Asset Management Inc.

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One Queen Capital Inc.

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Onex Credit Partners, LLC

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Ontario Instrument 45-507 Self-Certified Investor Prospectus Exemption (Interim Class Order)

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Ontario Instrument 81-508 Temporary Exemptions from the OEO Trailer Ban to Facilitate Dealer Rebates of Trailing Commissions and Client Transfers

Notice of General Order	#12 (March 24) 3021
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Ontario Securities Commission Companion Policy 91-501 Strip Bonds

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Ontario Securities Commission Notice 11-797 – Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2024

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Ontario Securities Commission Rule 32-506 (Under the Commodity Futures Act) Exemptions for International Dealers, Advisers and Sub-Advisers

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Ontario Securities Commission Rule 33-508 Extension to Ontario Instrument 33-507 Exemption from Underwriting**Conflict Disclosure Requirements**

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Ontario Securities Commission Rule 81-507 Extension to Ontario Instrument 81-506 Temporary Exemptions from National Instrument 81-104 Alternative Mutual Funds

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Ontario Securities Commission Rule 81-801 Implementing National Instrument 81-106 Investment Fund Continuous Disclosure

Request for Comment – Proposed Amendments and Proposed Changes to Implement an Access-Based Model for Investment Fund Reporting Issuers.....	#39 (September 29) 8357
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Ontario Securities Commission Rule 91-502 Trades in Recognized Options under the Securities Act

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Orca Gold Inc.	
Order	#22 (June 2) 5517
Orchid Ventures, Inc.	
Cease Trading Order	#45 (November 10) 9547
Origin Wealth Advisory Services Ltd.	
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Designation and Determination Order	#18 (May 5) 4699
Designation and Determination Order	#18 (May 5) 4701
Delegation Order – s. 5(4) of the SCA	#19 (May 12) 4831
Delegation Order – s. 3(1)	#40 (October 6) 8519
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OSC Rule 13-503 (Commodity Futures Act) Fees

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Notice of Coming into Force#41 (October 13) 8699

Rules and Policies#41 (October 13) 8727

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Notice of Ministerial Approval#23 (June 9) 5633

Rules and Policies#23 (June 9) 5687

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Notice.....	#3 (January 20) 680
OSC Staff Notice 51-734 – Corporate Finance Branch 2022 Annual Report	
Notice.....	#49 (December 8) 10063
OSC Staff Notice 81-733 – Summary Report for Investment Fund and Structured Product Issuers	
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Notice from the Governance & Tribunal Secretariat.....	#24 (June 16) 5992
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Notice from the Governance & Tribunal Secretariat.....	#41 (October 13) 8678
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Parvis Invest Inc.	
New Registration.....	#35 (September 1) 7833
Patrimonica Gestion D'actifs Inc./Patrimonica Asset Managements Inc.	
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PBY Capital Limited	
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Cease Trading Order	#6 (February 10) 1457
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Cease Trading Order	#7 (February 17)	1657
Cease Trading Order	#8 (February 24)	1877
Cease Trading Order	#9 (March 3)	2195
Cease Trading Order	#10 (March 10)	2507
Cease Trading Order	#11 (March 17)	2765
Cease Trading Order	#12 (March 24)	3091
Cease Trading Order	#13 (March 31)	3325
Cease Trading Order	#14 (April 7)	3607
Cease Trading Order	#15 (April 14)	3977
Cease Trading Order	#16 (April 21)	4307
Cease Trading Order	#17 (April 28)	4523
Cease Trading Order	#18 (May 5)	4727
Cease Trading Order	#19 (May 12)	4844
Cease Trading Order	#20 (May 20)	5083
Cease Trading Order	#21 (May 26)	5237
Cease Trading Order	#22 (June 2)	5539
Cease Trading Order	#23 (June 9)	5685
Cease Trading Order	#24 (June 16)	6043
Cease Trading Order	#25 (June 23)	6267
Cease Trading Order	#26 (June 30)	6451
Cease Trading Order	#27 (July 7)	6587
Cease Trading Order	#28 (July 14)	6754
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Cease Trading Order	#30 (July 28)	7093
Cease Trading Order	#31 (August 4)	7197
Cease Trading Order	#32 (August 11)	7287
Cease Trading Order	#33 (August 18)	7453
Cease Trading Order	#34 (August 25)	7607
Cease Trading Order	#35 (September 1)	7763
Cease Trading Order	#36 (September 8)	7887
Cease Trading Order	#37 (September 15)	8045
Cease Trading Order	#38 (September 22)	8197
Cease Trading Order	#39 (September 29)	8355
Cease Trading Order	#40 (October 6)	8573
Cease Trading Order	#41 (October 13)	8725
Cease Trading Order	#42 (October 20)	9055
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